

**The Federal Democratic Republic of Ethiopia**

**Ministry of Agriculture**

**Sustainable Land Management Program/  
Resilient Landscapes and Livelihoods Project –2**

**(RLLP-2)**

**[Final Document]**

**Environmental and Social Commitment Plan (ESCP)**

**January, 2021**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federal Democratic Republic of Ethiopia Ministry of Agriculture (the Recipient) will implement the Sustainable Land Management Program, Resilience Landscapes and Livelihoods project (RLLP) (hereafter; the project), with the involvement of Ministry of Finance (MoF). The World Bank has agreed to provide financing for the Project.
2. Federal Democratic Republic of Ethiopia will implement material measures and actions necessary to ensure that the Project is implemented in accordance with the World Bank's Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) is a summary document that sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. Federal Democratic Republic of Ethiopia will also implement material measures and actions that comply with the provisions of any other relevant Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) referred to in this ESCP, such as Environmental and Social Management Framework (ESMF), Resettlement Policy Framework (RPF), Social Assessment (SA) including Social Development Plan (SDP), Gender mainstreaming Guideline (GMG), Environmental and Social Management Plans (ESMP), Labor Management Procedures (LMP) and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents developed for the project.
4. Federal Democratic Republic of Ethiopia is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministries, referenced in article 1 above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the World Bank by Federal Democratic Republic of Ethiopia as required by the ESCP and the conditions of the legal agreement, and the World Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the World Bank and the Federal Democratic Republic of Ethiopia, this ESCP may be revised from time to time throughout the Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, The Federal Democratic Republic of Ethiopia will agree to the changes with the World Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the World Bank and the Federal Democratic Republic of Ethiopia. The Federal Democratic Republic of Ethiopia will promptly disclose and/or publish the updated ESCP.
7. Where Project changes, unforeseen circumstances, or project performance result in changes to the risks and impacts during Project implementation, the Federal Democratic Republic of Ethiopia shall commit appropriate or additional funds, if needed, to implement actions and measures to address such expected minimal or negligible adverse risks and impacts that are relevant to the Project, such as environmental, social, health, and safety impacts, gender-based violence among others.

The table below summarizes material measures and actions to mitigate the project’s potential E&S risks and impacts and the timeframe

Material measures and actions to mitigate the project’s potential environmental and social risks and impacts		Timeframe	Responsible entity/Authority
<b>ESCP MONITORING AND REPORTING</b>			
A	<p><b>REGULAR MONITORING and REPORTING</b></p> <p>MoA will prepare and submit to the Bank regular environmental, social, health and safety (ESHS) performance reports in compliance with legal agreement, including the requirements of ESCP and ESSs. The report shall include, among others, implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, any land acquisition activities, functioning of the grievance redressing mechanism(s) and others.</p>	Quarterly and annually, throughout project implementation period.	MoA/SLMP PMU
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Any significant adverse environmental, social, health and safety incidents, including GBV, or accidents related to the Project shall be promptly notified or reported to the World Bank team, along with the kinds of immediate measures taken to address the incidents and/or accidents.</p> <p>Provide detail information about the incident or accident, and indicate immediate action taken to address it.</p> <p>Detail summary of the incident should be submitted with findings of the Root Cause Analysis (RCA).</p> <p>Incident register system shall be maintained at all watersheds.</p>	<p>Within 48 hours after learning of the incident or accident</p> <p>Detailed incident report and any follow-up reports to be submitted in a timeframe agreed with the Bank depending on the nature of the incident.</p>	MoA/SLMP
<b>SUMMARY ASSESSMENT</b>			
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			

1.1	<p><b>ORGANIZATIONAL STRUCTURE:</b> MoA shall establish and maintain an organizational structure with qualified staff, including an Environmental safeguard and Social development specialist, and resources to support management of E&amp;S risks to meet the requirements of this ESCP.</p> <p>MoA established an organizational structure with qualified staff who supports the management of E&amp;S risks. As a result, MoA had in-place (recruited) qualified staff including one environmental and one social development specialists at federal level; and one environmental and one social development specialists at each project region, including one focal person at each woreda level; Community facilitator at kebele level;</p> <p>MoA also utilize and maintain the required resources including budget to support management of environmental and social risks and impacts for ensuring full compliance with ESSs, ESCP and other relevant instruments including ESMF, RPF, and any subsequent instruments such as ESIA, ESMP and RAP.</p>	<p>The environmental and social risks management structure will be in-place at launching of the project and will be maintained throughout the project implementation period;</p>	<p>MoA/SLMP</p> <p>MoA/SLMP</p> <p>MoA/SLMP</p>
1.2	<p><b>SELECTION MECHANISM:</b> MoA will prepare and implement transparent procedures and processes of community selection for project investments. Include the selection mechanism in the Project Implementaion Manual (PIM), and it incorporate and take into consideration the requirements of the Bank’s Environmental and Social Framework in a manner acceptable to the world bank.</p>	<p>The PIM will be updated by December 30, 2020 and will be applied throughout Project implementation period.</p>	<p>MoA/SLMP</p>

1.3	<p><b>ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK (ESMF):</b> MoA will prepare and implement ESMF, RPF and SA to identify and assess the environmental and social risks and impacts of the Project and design appropriate mitigation measures.</p> <p>The ESMF document will be updated but because of restrictions on large public meetings and social distancing for COVID-19 case, it will rather be disclosed through the appropriate website of the MoA and other means of communications (emails, print and distribute to all stakeholders, etc.).</p>	<p>The instruments will be prepared and disclosed immediately after approval; and will be used throughout the project implementation period (starting from subproject identification to implementation and monitoring).</p>	MoA/SLMP
1.4	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT:</b> MoA will prepare site specific ESMP based on the ESMF, SEP and LMP that has been prepared for the RLLP-II, in a manner acceptable to the World Bank once subprojects are identified.</p> <p>Assess project induced potential risks of GBV/SEAH and develop a GBV action plan with measures to address the identified risks and impacts based on the ESMF.</p>	<p>Prior to initiation of physical work of investment subprojects, preferably earlier to inform the project design and will be effected throughout the project implementation period.</p> <p>Within three months after the effectiveness of the project</p>	MoA/SLMP

1.5	<p><b>ENVIRONMENT and SOCIAL SCREENING and ESMPs</b></p> <p>All the project financed activities shall be screened in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project. Site specific environmental and social risk management tools (such as ESMPs, RAPs, SEP, LMP, ESIAs) will also be prepared, disclosed and implemented following the ESMF procedures and in a manner acceptable to the World Bank.</p> <p>Assess the environmental and social risks and impacts of proposed Project activities, including to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project, in accordance with ESSs and the ESMF prepared.</p> <p>Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.</p> <p>Assess any unforeseen impacts, notified via the Grievance Redress Mechanism (GRM), and implement respective correct measures.</p>	<p>Before subproject activities implemented and will continue throughout the project period.</p> <p>Before the implementation of subprojects/activities.</p> <p>Before the approval of the procurement bidding process and thereafter during the project implementation.</p> <p>During time of the grievance notification</p>	MoA/SLMP
1.6	<p><b>TECHNICAL ASSISTANCE:</b> The terms of reference for studies/assessments, capacity building and any other technical assistance provided under the Project will duly incorporate and take into consideration the requirements of the Bank’s Environmental and Social Framework.</p>	<p>Throughout project implementation.</p>	MoA/SLMP

1.7	<p><b>EXCLUSIONS:</b> Exclude the following type of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> <li>• Activities that may cause damage to physical and cultural resources;</li> <li>• Activities that may involve construction of reservoir dams that are above 4.5 meters height;</li> <li>• Involve removal or conversion of forests and other natural resources,</li> <li>• Activities that may cause degradation of critical natural habitats,</li> <li>• Involve land use changes such as drainage of wetlands and cultivation,</li> <li>• Activities that may potentially affect the quality or quantity of water or a waterway shared with other nations;</li> <li>• Activities that require significant involuntary land acquisition and resettlement;</li> <li>• Activities that affect underserved people and vulnerable groups etc.</li> <li>• Activities that likely to use pesticides or other agro-chemicals.</li> <li>• Activities that may cause any loss of biodiversity</li> <li>• Activities that may have any potential direct or indirect impacts on commune centers or on the people in a CC.</li> </ul>	Throughout the project implementation period (before screening of activities commenced).	MoA/SLMP
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<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	Relevant aspects of this standard shall be considered, as needed, under action 1.5 above.	Throughout implementation project	MoA/SLMP

2.2.	<b>LABOR MANAGEMENT PROCEDURES (LMP):</b> The Project implementer (MoA) shall implement the LMP throughout the project life in accordance with the applicable national labor laws and requirements of ESS2, in a manner acceptable to the World Bank. The LMP includes clear information on the terms and conditions of employment, principles regarding nondiscrimination and equal opportunity, rules regarding child labor and forced labor, and occupational health and safety measures and grievance redress mechanism for labor issues, drawing on national law and procedures.	LMP will be implemented throughout Project implementation.	MoA/SLMP
2.3	MoA will establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.  GRM already established during the last two SLMP phases and will be strengthened in all newly added RLLP-II woredas as well.	GRM will be implemented throughout the Project implementation period	MoA/SLMP
2.4	MoA shall ensure a non-discriminatory, decent work environment; including by ensuring that all direct and contracted workers adhere to the professional code of conduct outlined in the LMP.	Throughout the project implementation period	MoA/SLMP
2.5	MoA shall establish adequate system to prevent and avoid child labor (below the age of 15) and forced labor including and this should be integrated with the code of conduct under 2.4	Throughout project implementation	MoA/SLMP
2.6	MoA will ensure, that as part of the ESMF, OHS measures, including preliminary temporary workers safety and response measures are in place for the Project.	OHS measures for the Project will be established and implemented throughout Project period.	MoA/SLMP

**ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT**



3.1	<p><b>INTEGRATED PEST MANAGEMENT:</b> MoA shall prepare, adopt, and implement site specific Pest Management Plans where the use of pesticides or chemicals are considered necessary within the climate smart agriculture and livelihoods activities and the documents will be shared with the World Bank for further review and feedback.</p> <p>The site specific environmental and social risk management tools such as ESMPs/ESIAs (which will be prepared based on the ESMF) will cover pollution prevention and management measures, especially for subproject activities financed under component 1.</p>	<p>IPMP will be prepared based on the screening result of subprojects. And it will be prepared before the commencement of subprojects screened.</p>	<p>MoA/SLMP</p>
<p><b>ESS 4: COMMUNITY HEALTH AND SAFETY</b></p>			
4.1	<p>Relevant aspects of this standard shall be considered, as needed, under action 1.4 and 1.5 above.</p>	<p>Throughout the project implementation period.</p>	<p>MoA/SLMP</p>
4.2	<p>The MoA will develop and implement measures and actions to assess and manage specific health and safety risks and impacts to the community following adequate OHS protocols to the project affected communities and include site specific health and safety impacts in the ESMPs prepared in accordance with the ESMF, ESS2, Small dams safety guideline and in a manner acceptable to the World Bank.</p>	<p>Throughout project implementation (mainly during screening of site specific impacts that are identified in the ESMP) period.</p> <p>OHS protocols will be prepared within three months of the effectiveness of the project and will be implemented throughout the project period.</p> <p>Health, Safety and Environmental (HSE) Plan will be developed before the commencement of any construction activities.</p>	<p>MoA/SLMP</p>

4.3	<p>MoA shall prepare and implement stand-alone Gender-Based Violence Action Plans (GBV Action Plans), to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA).</p> <p>The GBV Action Plan shall specifically address potential risks deriving from labor influx and employment and, also, shall address potential risks deriving from the provision of project benefits to individual households.</p>	<p>The project has prepared Gender Action Plan (GAP) and it will be implemented throughout the project period.</p> <p>GBV action plan will be prepared within three months of the effectiveness of the project and will be implemented throughout the project period.</p>	MoA/SLMP
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<p>Screen out subproject types (including construction of community access roads; roadside flood harvesting/drainage systems; diversion canals, small dams; area closures; reforestation and afforestation in communal and private lands) which might require land acquisition.</p> <p>MoA will propose to rely voluntary land donation (VLD) for any subprojects that might require land.</p>	<p>Before the commencement of the subprojects and continues throughout the project period.</p> <p>Will be implemented before the commencement of the project.</p>	MoA/SLMP
5.2	<p>In case of subproject activities that may require land acquisition from private parties, MoA will prepare, adopt, and implement Resettlement Action Plans (RAPs) in accordance with ESS5 and consistent with the requirements of the Resettlement Policy Framework (RPF) that has been prepared for RLLP-II using the methods for any land acquisition as described within the RPF, before carrying out the associated activities, in a manner acceptable to the World Bank.</p>	<p>Before carrying out the activities and will be implemented throughout the project period.</p>	MoA/SLMP
5.3	<p>The grievance mechanism to address resettlement related complaints is described in the main ESMF, RPF and has been incorporated in the SEP. The Project GRM will be used to address resettlement related complaints and issues.</p>	<p>Throughout the project implementation period.</p>	MoA/SLMP
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p>Relevant aspects of this standard shall be considered, as needed, under action 1.4 and 1.5 above.</p>	<p>Throughout the project implementation</p>	

6.2	<p>Screening for biodiversity and living natural resources risks will be carried out at sub-project level by MoA, (including while construction of community access roads; roadside flood harvesting/drainage systems; diversion canals, small dams; area closures; reforestation and afforestation in communal and private lands) which might affect the ecosystem services of the local environment.</p> <p>MoA will ensure to identify subprojects not to interfere in all areas that are ecologically important and/or sensitive areas which include national parks; natural reserves; wetlands, internationally protected areas.</p> <p>Biodiversity conservation and sustainable management of living natural resources measures for each sub-project will be covered under the ESMP or other necessary instruments to be prepared and shared to the Bank.</p>	<p>Before the commencement of the subprojects and throughout the project life.</p> <p>Throughout the project implementation.</p> <p>During the project implementation period.</p>	MoA/SLMP
6.3	MoA shall consider the livelihood of affected communities, including underserved peoples, whose access to, or use of, biodiversity, ecosystem services, or living natural resources may be affected and the measures to address should be covered in the ESMP and shared to the Bank.	Throughout the project implementation period.	MoA/SLMP
6.4	MoA should check threats and impacts to biodiversity (for example habitat loss, degradation and fragmentation, invasive alien species, overexploitation), which can, therefore, often adversely affect the delivery of ecosystem services. The MoA shall sustainably manage and mitigate impacts on biodiversity and ecosystem services through measures and actions covered in the ESMP and shared to the World Bank.	Throughout the project implementation period.	MoA/SLMP
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	Relevant aspects of this standard shall be considered, as needed, under action 1.5 above.		
7.2	The MoA shall ensure that historically underserved communities and/or peoples in the project watersheds are appropriately informed, consulted, and engaged in the project interventions and shared to the Bank.	Throughout the project implementation period.	MoA/SLMP

7.3	The MoA shall ensure that such communities and/or peoples can share in the benefits of the project in an inclusive and culturally appropriate manner with provisions included in the SEP and social development plans.	Throughout the project implementation.	MoA/SLMP
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	Relevant aspects of this standard shall be considered, as needed, under action 1.4 and 1.5 above including, inter alia, measures to ensure that activities do not take place on or adversely impact the physical cultural resources including movable or immovable objects, archaeological and historical sites, sacred sites, grave yards, burial sites, historical, architectural, religious, aesthetic, or others that have unique natural, social and cultural significance.		
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	This ESS is not relevant to the project		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	MoA will prepare, adopt, and implement SEP (including appropriate community consultation mechanisms to support an adequate targeting mechanism and to establish appropriate livelihood interventions) consistent with ESS10, in a manner acceptable to the World Bank.	SEP will be prepared by MoA and will be implemented throughout Project implementation period.	MoA/SLMP
10.2	MoA implement the SEP(s) consistent with ESS10, including the use of different, culturally appropriate communication approaches to ensure communication with the vulnerable and people with disabilities.	Throughout the project implementation period.	MoA/SLMP
10.3	MoA will develop, maintain and operate a grievance mechanism, as described in the SEP, with appropriate monitoring and reporting, and also it will arrange grievances be publicly available to receive and facilitate resolution of concerns and grievances happened as a result of the project,	Throughout the project implementation period.	MoA/SLMP
10.4	As part of information disclosure arrangement, the ESCP, SEP and LMP should be disclosed publicly.	The documents will be disclosed before appraisal of the documents and continue throughout the project life.	MoA/SLMP

**CAPACITY SUPPORT (TRAINING)**

CS1	<p>Annual training plan will be prepared. Assessment of environmental and social capacity building needs will be carried out. On job trainings for platform members at zonal and woreda level experts, DAs, CFs, and awareness raising sessions for the community shall be conducted and strengthened, including refresher trainings to strengthen stakeholder capacity in coordinating, planning, implementing and monitoring environmental and social issues.</p> <p>The trainings include:</p> <ul style="list-style-type: none"> <li>• Capacity building for PCU staff at federal and regional level on specific requirements of the ESSs, ESMF, ESMPs, ESCPs, IPMP, SEP, social development plan and/or LMP.</li> <li>• Training for farmers, religious leaders, experts and officials at different levels on implementation of ESMF procedures, RPF, IPMP, SEP, ESMP, ESCP, LMPs; farmers’ role in the identification, screening, impact identification and implementation of the mitigation measures; on community health and safety, and others.</li> <li>• Specific aspects of environmental and social assessment.</li> <li>• Occupational health and safety requirements of the project CIGs, etc.</li> <li>• GBV and response mechanism for experts at all levels and communities,</li> <li>• Project Grievance Redress Mechanism.</li> </ul>	<p>Planning for capacity building training at the start (during the first year) of the project (RLLP-II). This plan will be updated annually based on the need assessment findings and annual plan collected from the project implementing regions. Also, refreshment trainings and awareness creation programs will be conducted every year.</p>	MoA/SLMP
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